

# Recent results from Super-Kamiokande ~ atmospheric neutrino ~

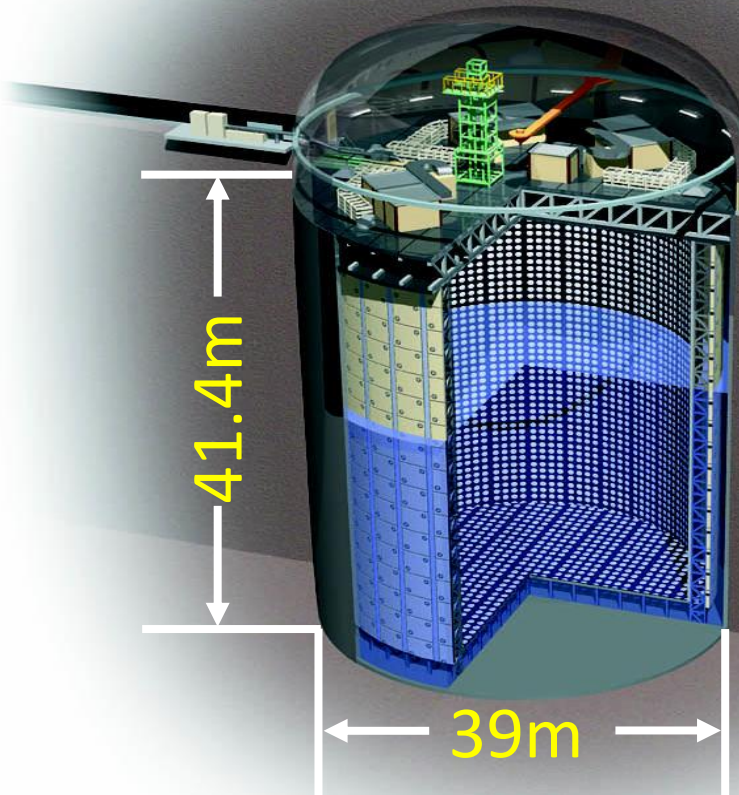
Yoshinari Hayato ( Kamioka, ICRR, U-Tokyo )  
for the Super-Kamiokande collaboration

# Super-Kamiokande detector

50000 tons Ring imaging Water Cherenkov detector

Fiducial volume : 22.5 ktons

1000m under the ground

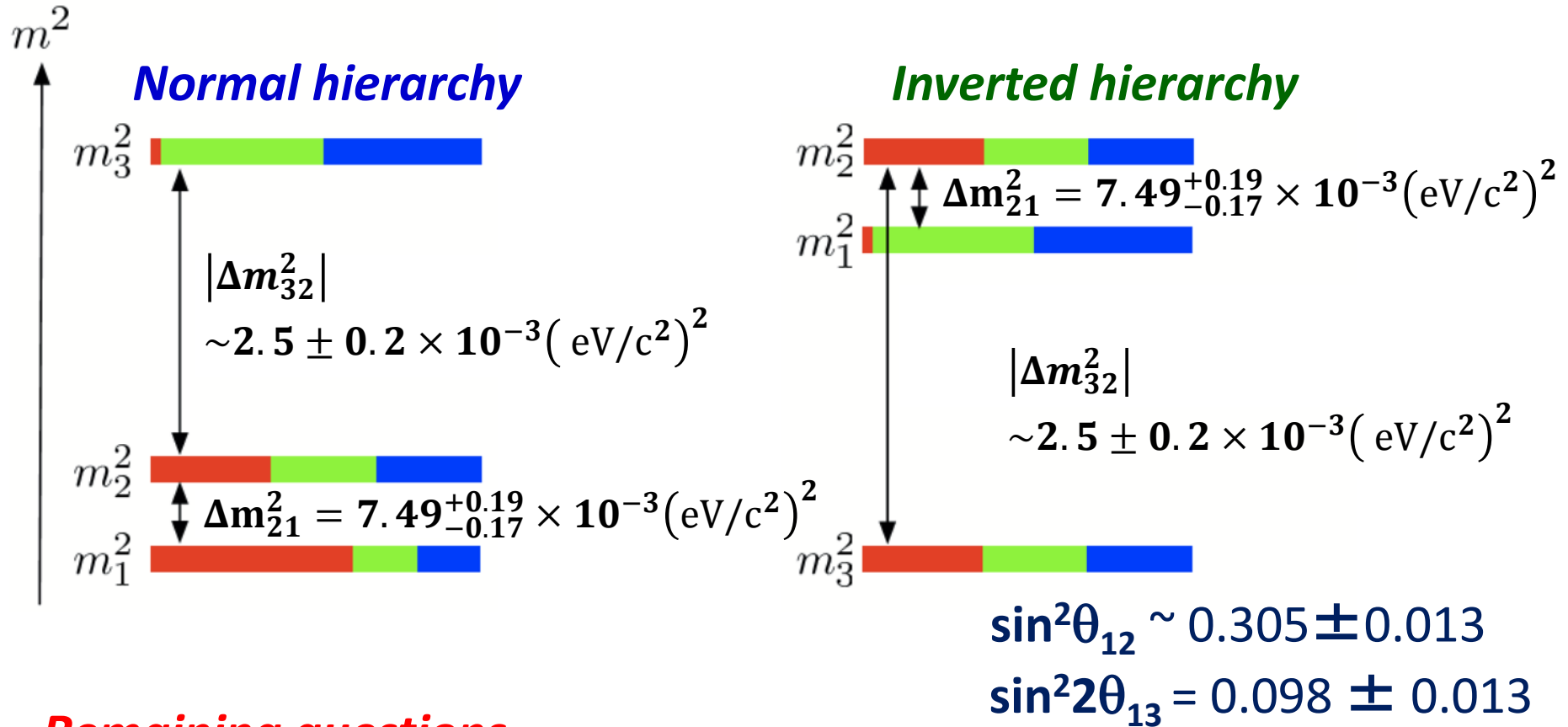


Inner detector	11129	20" PMTs
Outer detector	1885	8" PMTs

About 40% of the inner detector  
is covered  
by the sensitive area of PMT.

Operation started in Apr. 1996.

# Neutrino mixing parameter measurements



## Remaining questions

1)  $\theta_{23}$  is really  $45^\circ$  or  $< 45^\circ$  or  $> 45^\circ$  ?

Current uncertainty of  $\sin^2 \theta_{23}$  is still large  $\sim 10\%$  level

$$\sin^2 \theta_{23} = 0.514 \pm 0.055 \quad (\text{T2K 2014})$$

2) CP is violated or not (  $\delta = 0$  or not ) ?

3) Mass hierarchy  $\sim$  which is heavier ? (  $\Delta m_{32}^2 > 0$  or  $< 0$  ? )

Neutrino oscillation probability  $\sim \nu_\mu$  to  $\nu_e$  oscillation

$$\begin{aligned}
 P(\nu_\mu \rightarrow \nu_e) = & \boxed{4C_{13}^2 S_{13}^2 S_{23}^2 \cdot \sin^2 \Delta_{31}} \quad \theta_{13} \text{ Leading term} \\
 & + 8C_{13}^2 S_{12} S_{13} S_{23} (C_{12} C_{23} \cos \delta - S_{12} S_{13} S_{23}) \cdot \cos \Delta_{32} \cdot \boxed{\sin \Delta_{31}} \cdot \sin \Delta_{21} \\
 & \boxed{-8C_{13}^2 C_{12} C_{23} S_{12} S_{13} S_{23} \sin \delta \cdot \sin \Delta_{32} \cdot \sin \Delta_{31} \cdot \sin \Delta_{21}} \quad \text{CPV} \\
 & + 4S_{12}^2 C_{13}^2 (C_{12}^2 C_{23}^2 + S_{12}^2 S_{23}^2 S_{13}^2 - 2C_{12} C_{23} S_{12} S_{23} S_{13} \cos \delta) \cdot \sin^2 \Delta_{21} \\
 & - 8C_{13}^2 S_{13}^2 S_{23}^2 \cdot \frac{a L}{4E_\nu} (1 - 2S_{13}^2) \cdot \cos \Delta_{32} \cdot \boxed{\sin \Delta_{31}} \\
 & + 8C_{13}^2 S_{13}^2 S_{23}^2 \frac{a}{\Delta m_{31}^2} (1 - 2S_{13}^2) \cdot \sin^2 \Delta_{31},
 \end{aligned}$$

$$\begin{aligned}
 \Delta_{ij} &\equiv \Delta m_{ij}^2 L / 4E_\nu \\
 a &= 2\sqrt{2} G_F n_e E_\nu
 \end{aligned}$$

For anti neutrinos,

$$a \rightarrow -a, \delta \rightarrow -\delta$$

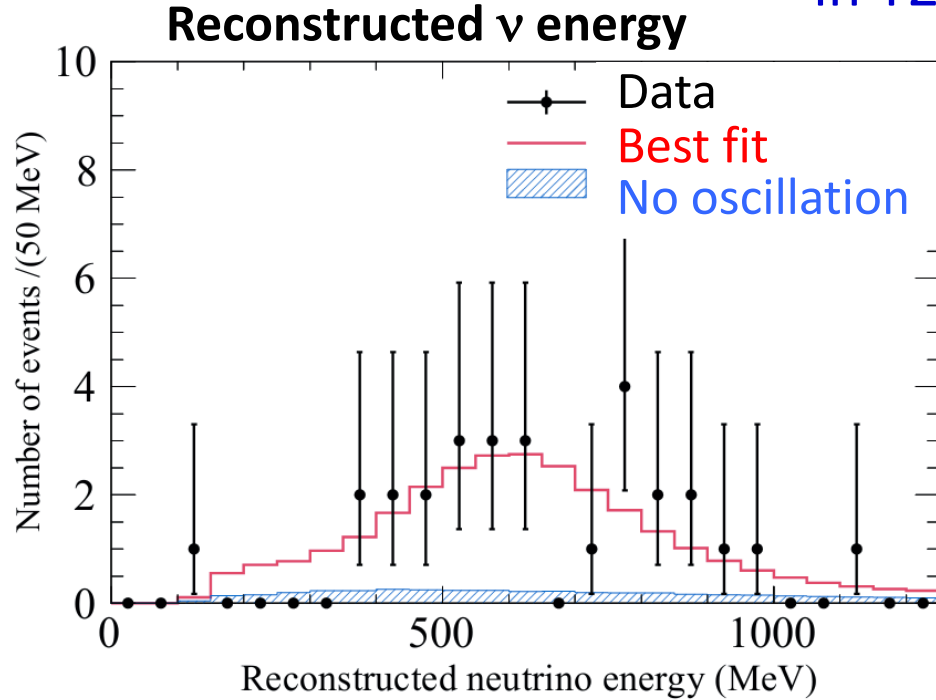
Now,  $\theta_{13}$  is known to be ( quite ) large.

There are chances to observe the contributions

from *mass hierarchy* and **CPV (  $\delta$  )** !

# Recent results from Reactor & T2K

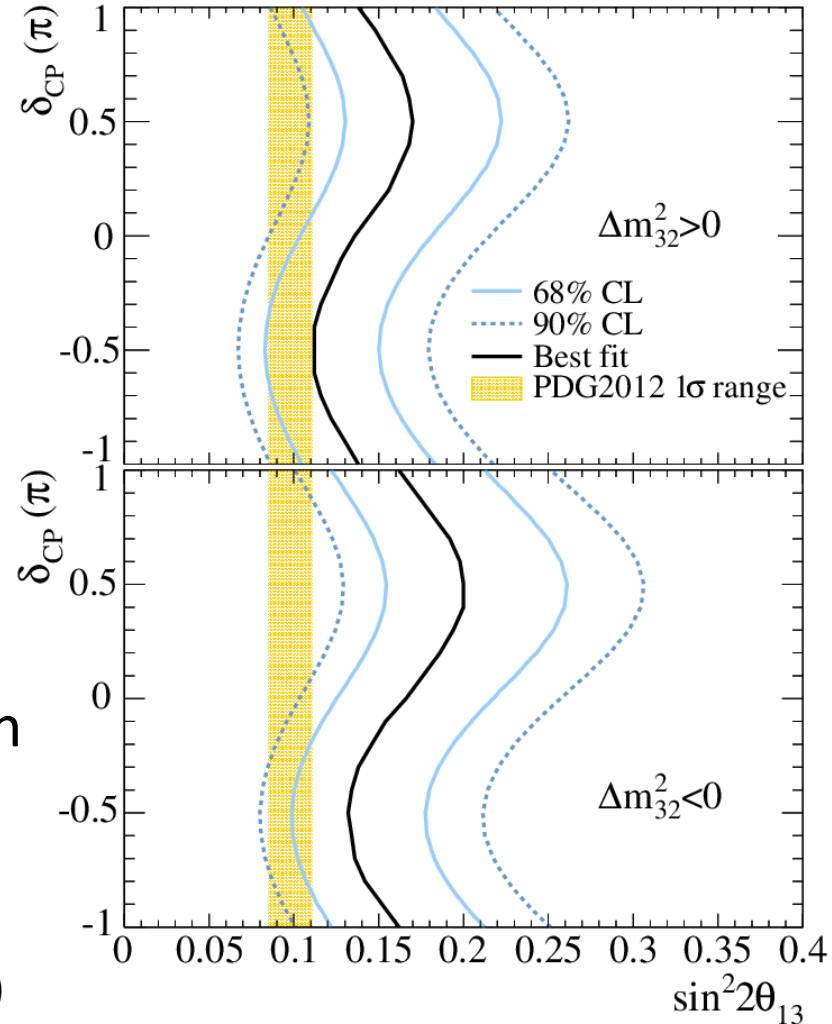
$\nu_e$  appearance clearly observed  
in T2K



Extracted  $\sin^2 2\theta_{31}$  is slightly larger than the ones from reactor experiments

$$\sin^2 2\theta_{13} = \begin{matrix} 0.140^{+0.038}_{-0.032} & (\text{normal hierarchy}) \\ 0.170^{+0.044}_{-0.037} & (\text{inverted hierarchy}) \end{matrix}$$

Allowed region of  $\delta_{CP}$   
for each  $\sin^2 2\theta_{13}$

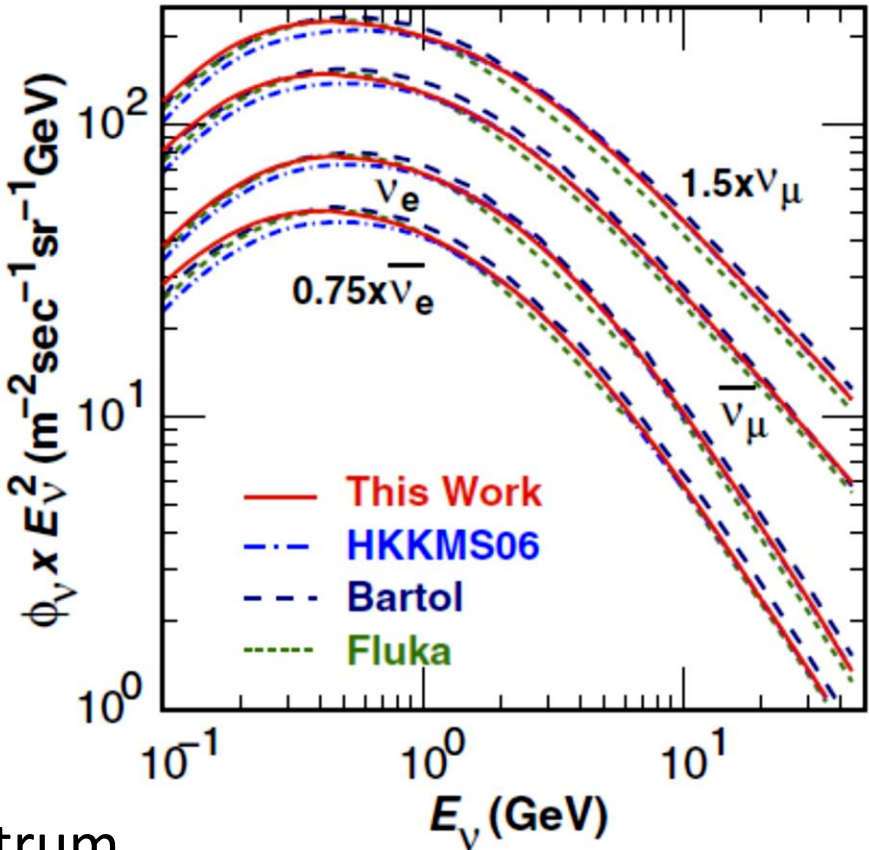
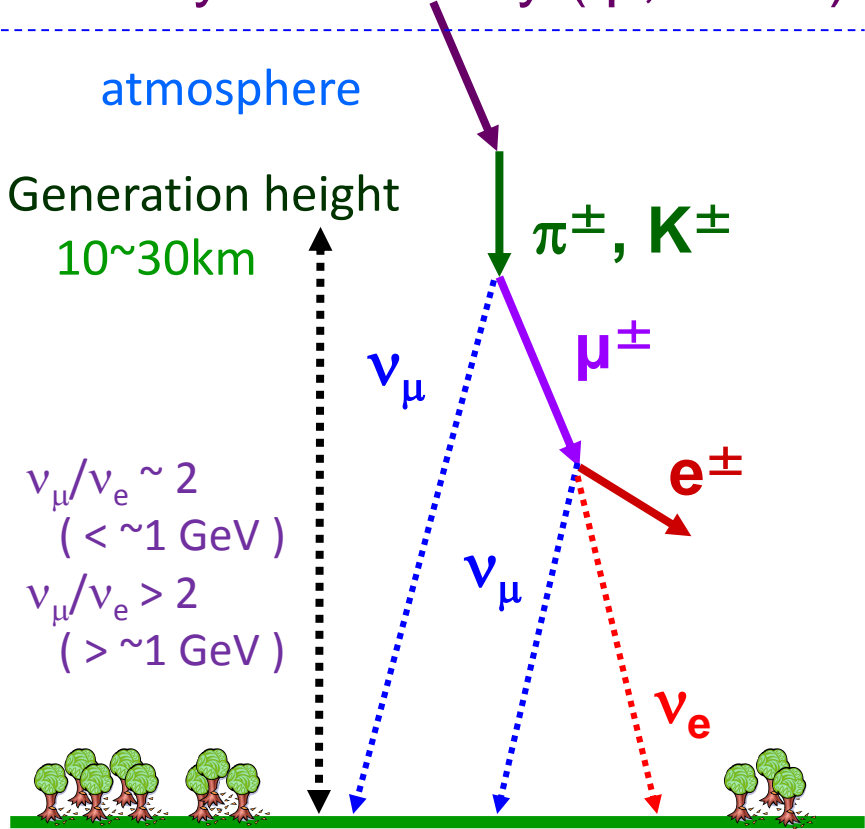


**Indication of non-zero  $\delta_{CP}$ ?**

# Characteristics of atmospheric neutrino

Primary cosmic ray ( p, He .. )

Atmospheric  $\nu$  energy spectrum



Atmospheric neutrino energy spectrum

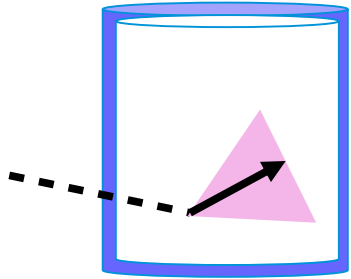
Peaked at  $\sim$  *several hundreds of MeV, Extended  $> \text{TeV}$*

Neutrino travel length from  $\sim$  *10 km to 13,000 km*

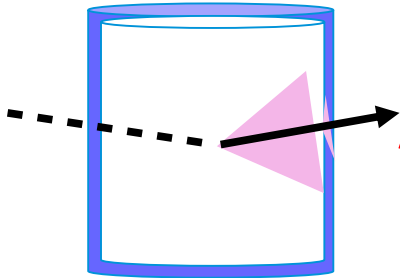
*Zenith angle corresponds to travel length of neutrinos.*

# Atmospheric neutrino $\sim$ event topology in SK

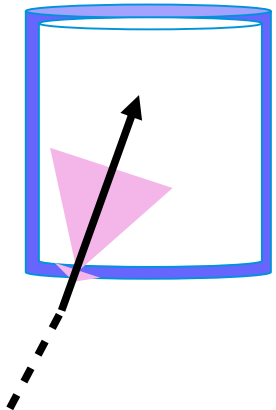
Fully Contained (FC)



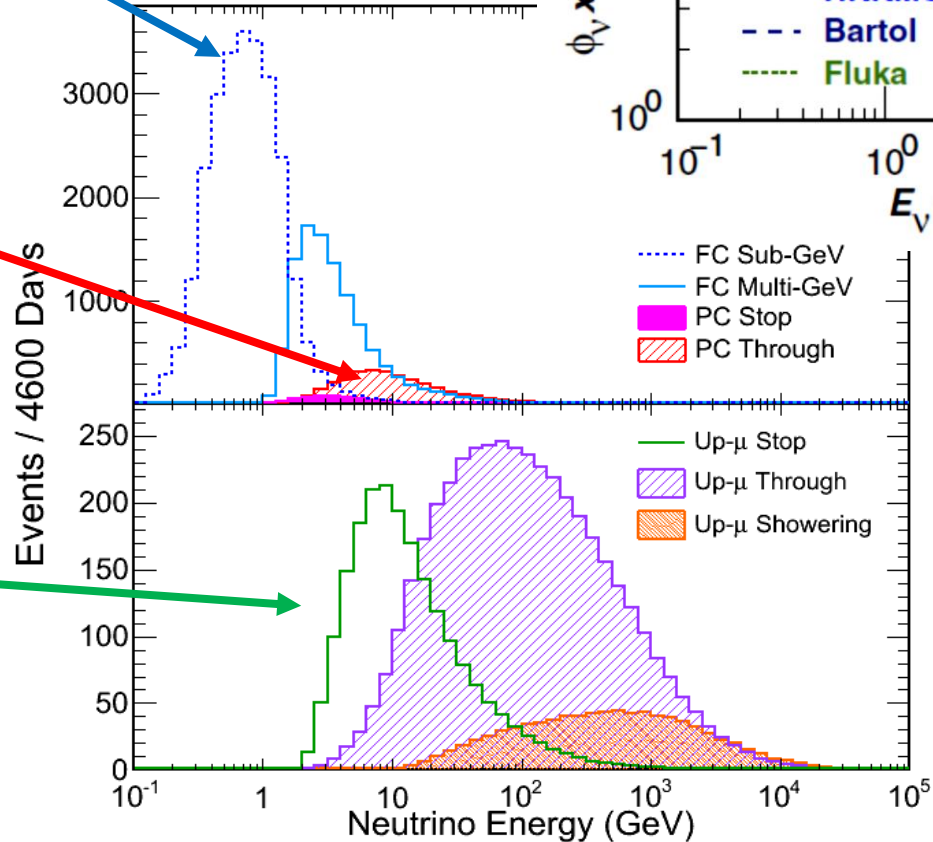
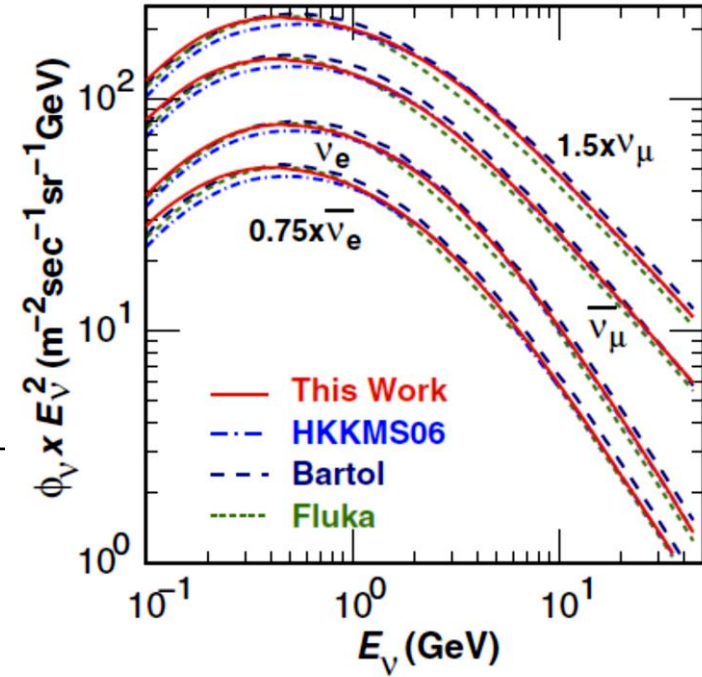
Partially Contained (PC)



Upward-going Muons (Up- $\mu$ )

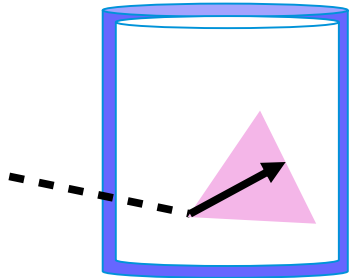


- Average energies
- FC:  $\sim 1$  GeV
- PC:  $\sim 10$  GeV
- UpMu:  $\sim 100$  GeV

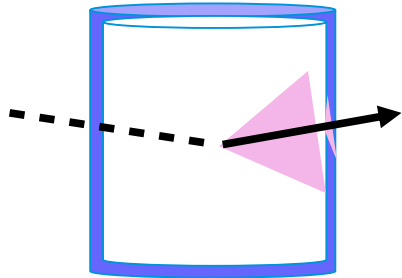


# Atmospheric neutrino ~ Analysis samples in SK

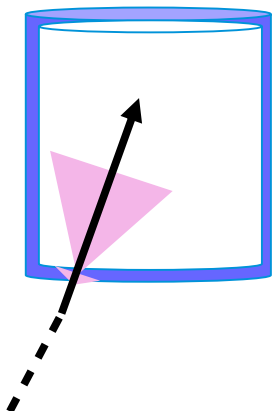
Fully Contained (FC)



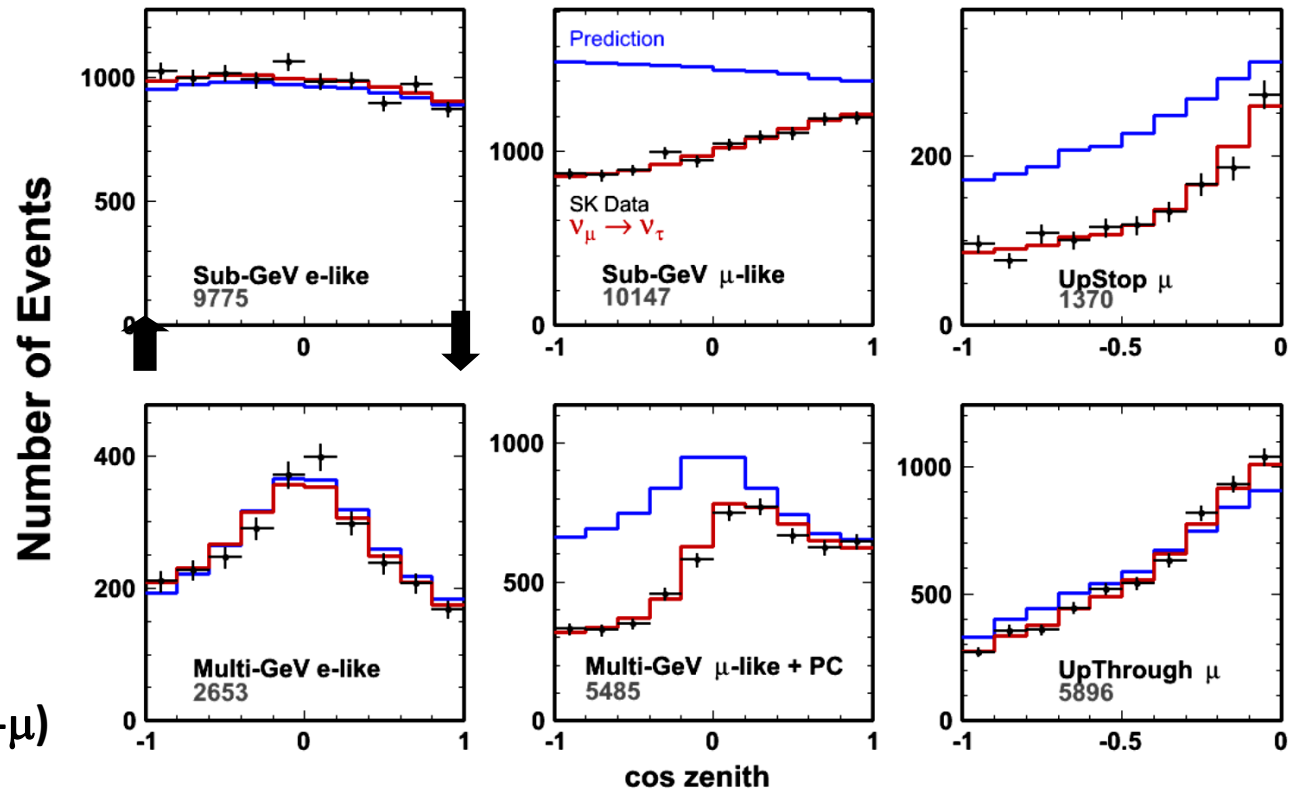
Partially Contained (PC)



Upward-going Muons (Up- $\mu$ )



*Zenith angle distribution of each sample*



- In total 19 analysis samples  
(classified by  $\nu$  flavors, event topologies, energies, ...)
- *Fit to the data in bins of  $\cos\theta_{zenith}$  and momentum*
- Dominated by  $\nu_\mu \rightarrow \nu_\tau$  oscillations
- Interested in sub-dominant contributions  
Three-flavor effects, Sterile Neutrinos, LIV, ...

# Neutrino oscillation studies using atmospheric $\nu$

## High statistics atmospheric neutrino data

~ Possibility in observing small distortion in  $\nu_e$

- Matter effect ~ from mass hierarchy

Possible  $\nu_e$  enhancement in several GeV  
passed through the earth core

- Solar term ~ from  $\theta_{23}$  octant degeneracy

Possible  $\nu_e$  enhancement  
in sub-GeV

- Interference

CP phase could be studied.

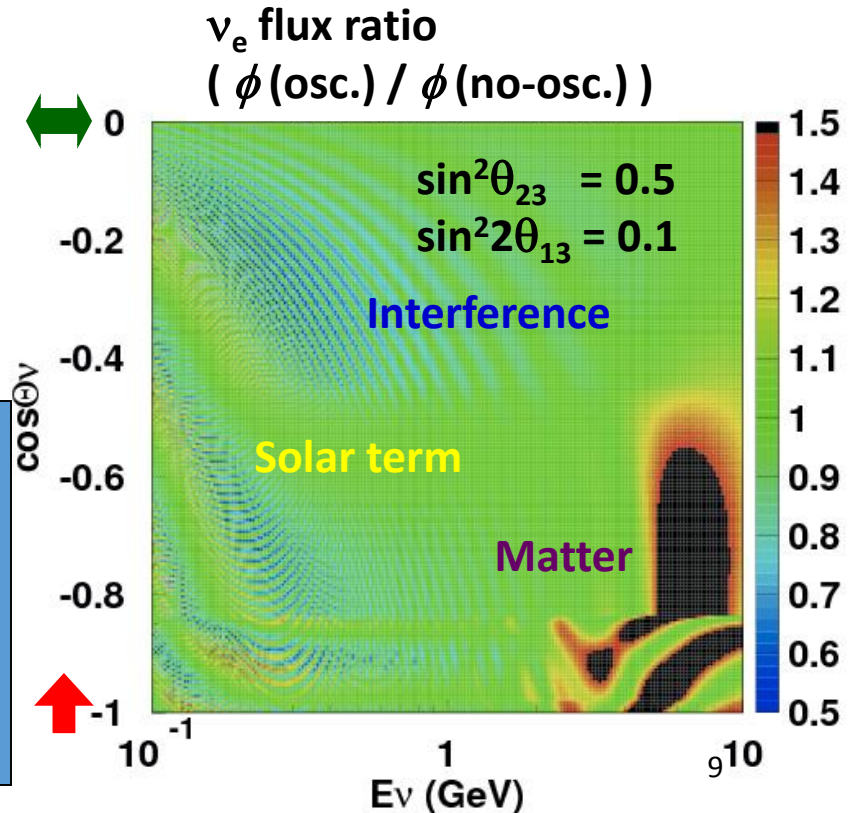
*Difference in # of electron events:*

$$\Delta_e \equiv \frac{N_e}{N_e^0} \approx \Delta_1(\theta_{13}) + \Delta_2(\Delta m_{12}^2) + \Delta_3(\theta_{13}, \Delta m_{12}^2, \delta)$$

← Matter effect

← Solar term

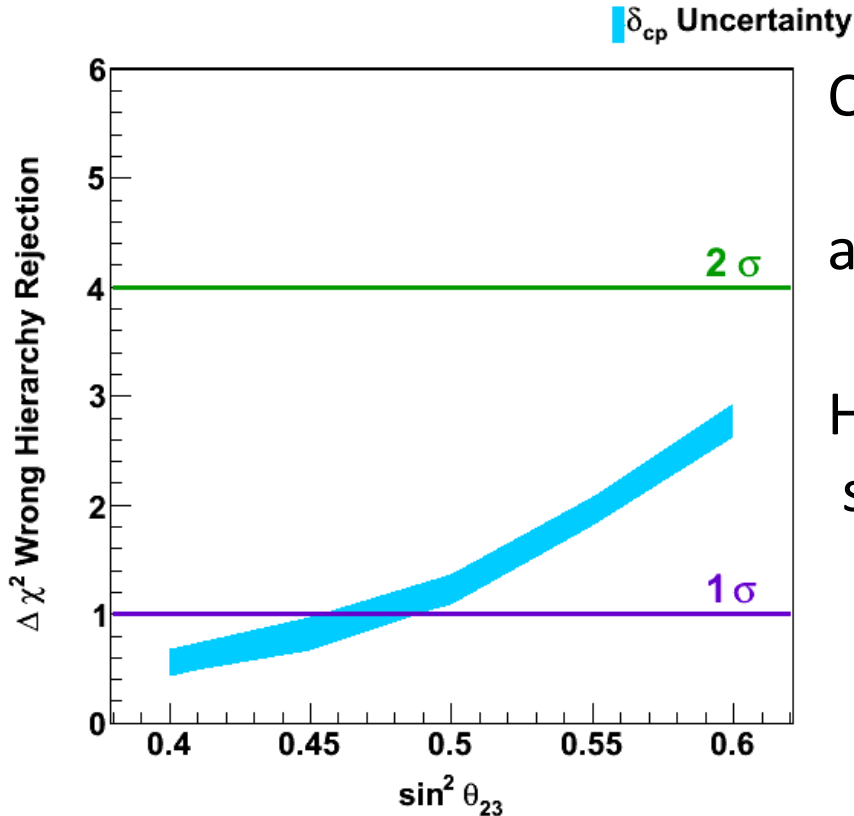
← Interference



# Neutrino oscillation studies using atmospheric $\nu$

## Expected Sensitivity

Hierarchy Sensitivity ( True : **NH** )



Owing to the broad energy spectrum and baseline, atmospheric neutrino has sensitivity to the mass hierarchy.

However, sensitivity to the mass hierarchy has rather strong relation with the other oscillation parameters.

As a function of the true value of  $\sin^2\theta_{23}$ , this plot shows the ability to reject the inverted mass hierarchy hypothesis

assuming the normal hierarchy

# Neutrino oscillation studies using atmospheric $\nu$

## Updates to Oscillation Analyses

2166 days of SK-IV data: 4996 days total (307.7 kton·yrs)

- Changes in analysis samples**

Fully contained Multi-Ring e-like

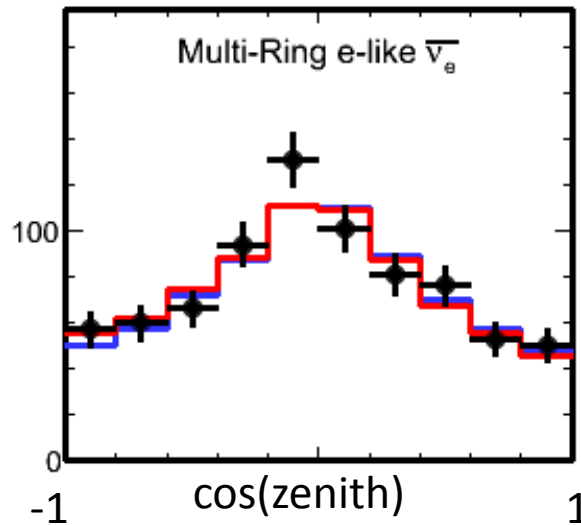
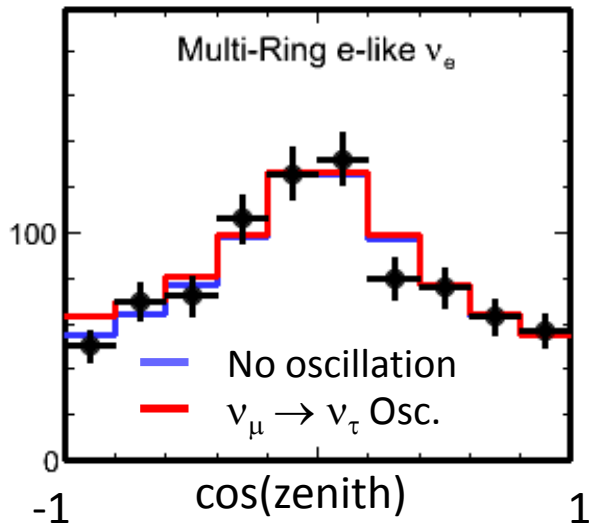
~ subdivide into three

$\nu_e$ -like,  $\bar{\nu}_e$ -like and  
“other” (= not- $\nu_e$  & not- $\bar{\nu}_e$ )

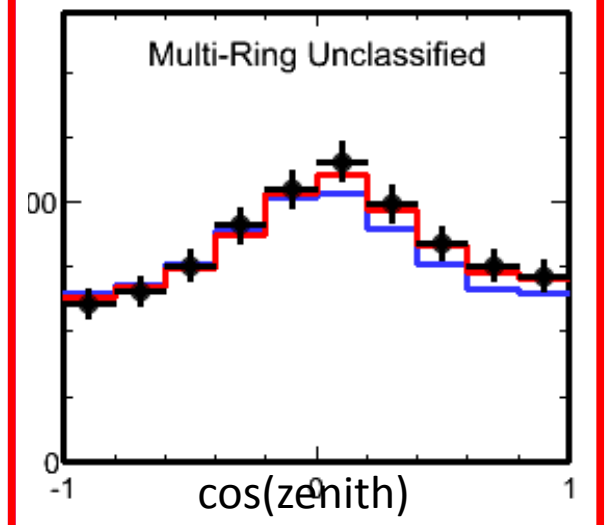
### Multi-Ring e-like Sample Purities

Purity	CC $\nu_e$	CC $\nu_\mu$	CC $\nu_\tau$	NC
$\nu$ -like	72.2%	8.3%	3.2%	16.1%
$\bar{\nu}$ -like	75.0%	6.5%	2.8%	15.6%
other	30.9%	33.4%	5.1%	30.5%

### $\nu_e / \bar{\nu}_e$ separated samples



### Other



- Improved systematic error treatments**

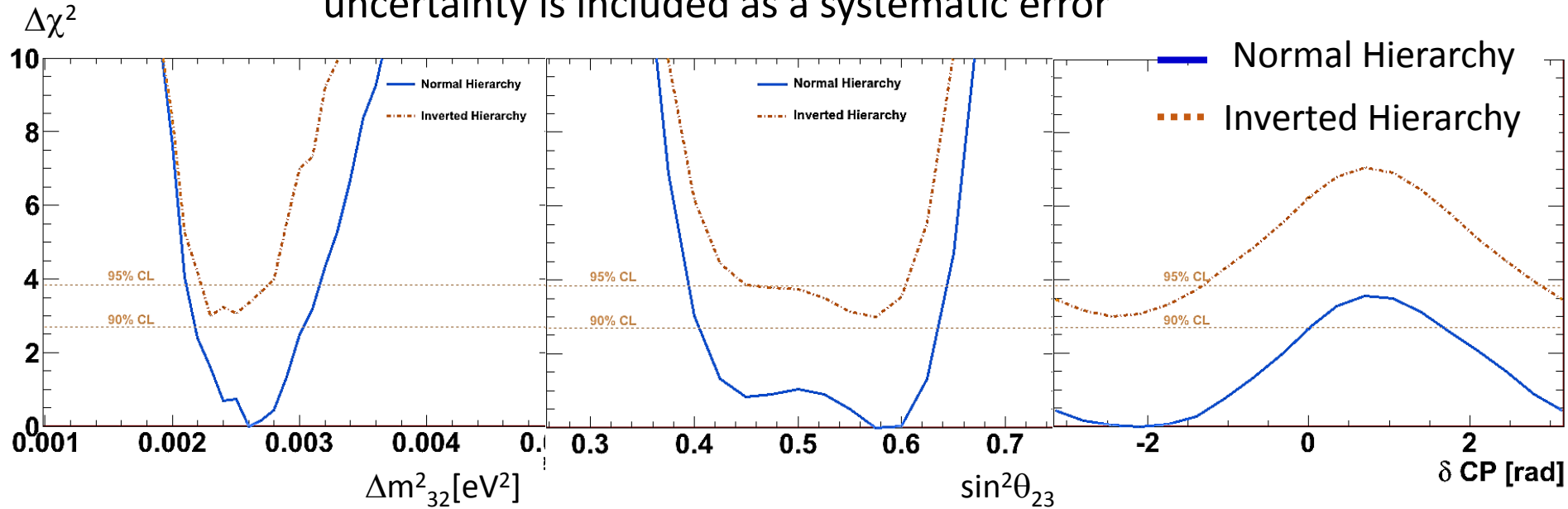
Updates to cross-section, FSI, detector systematics, 2p-2h (MEC)

# Neutrino oscillation studies using atmospheric $\nu$

## $\theta_{13}$ Fixed Analysis (NH+IH) SK Only

$\theta_{13}$  fixed to PDG2014 value (  $\sin^2 2\theta_{13} = 0.093 \pm 0.08$  )  
 uncertainty is included as a systematic error

*Preliminary*



Fit (517 dof)	$\chi^2$	$\delta_{cp}$ (rad)	$\sin^2 \theta_{23}$	$\Delta m^2_{32/23}$ ( $\times 10^{-3} eV^2$ )
SK (NH)	<b>582.4</b>	-2.09 (-0.67 $\pi$ )	0.575	2.6
SK (IH)	<b>585.4</b>	-2.44 (-0.78 $\pi$ )	0.575	2.3

Offsets in these curves show the absolute  $\chi^2$  diff. in the hierarchies.

*Normal hierarchy is favored at  $\chi^2_{NH} - \chi^2_{IH} = -3.0$  ( not significant still. )*

# Neutrino oscillation studies using atmospheric $\nu$

$\theta_{13}$  **Fixed** Analysis (NH+IH) SK Only

Normal hierarchy favored

$$\text{but } \chi^2_{\text{IH}} - \chi^2_{\text{NH}} = -3.0$$

**Not a significant preference**

Driven by **excess of upward-going e-like**  
*consistent with the effects of  $\theta_{13}$*

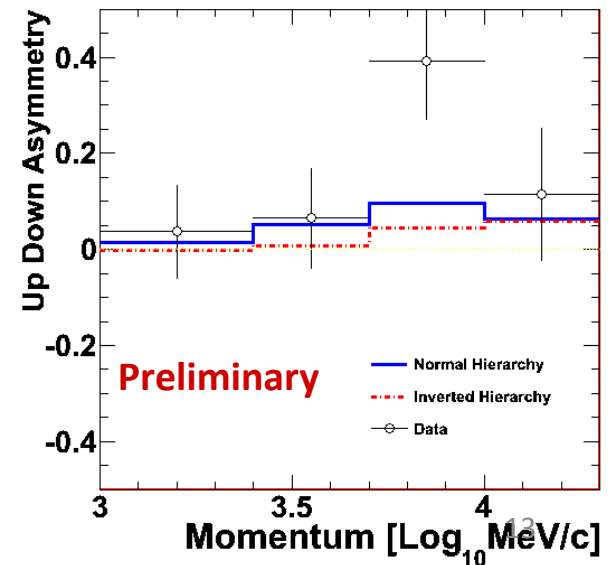
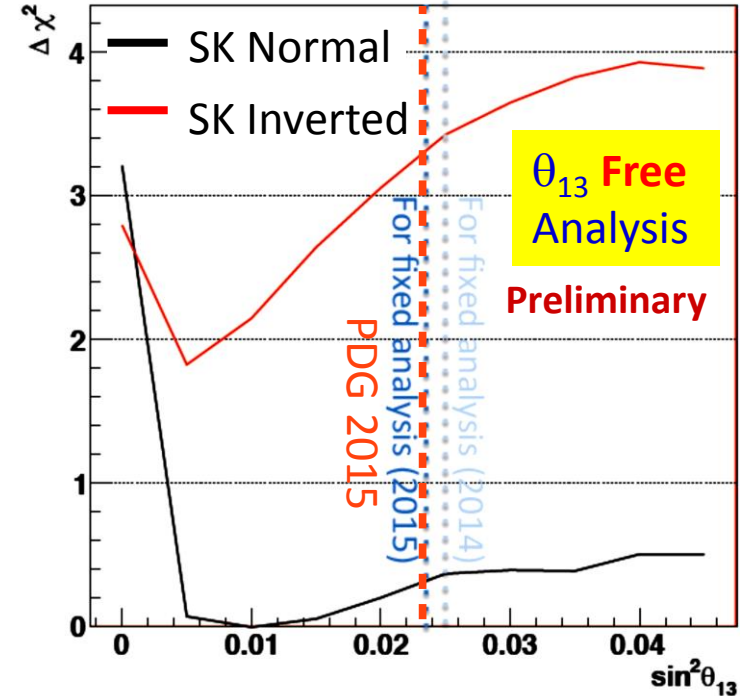
Primarily in SK-IV data

New multi-ring e-like sample also pulls  
the fit towards the NH

Fit for  $\theta_{13}$  now weakly favors  $\theta_{13} > 0$

Rejection around  $\delta_{\text{cp}} \sim 0.2\pi$  was driven  
by excess in **Sub-GeV electron events**

Constraint is consistent with sensitivity



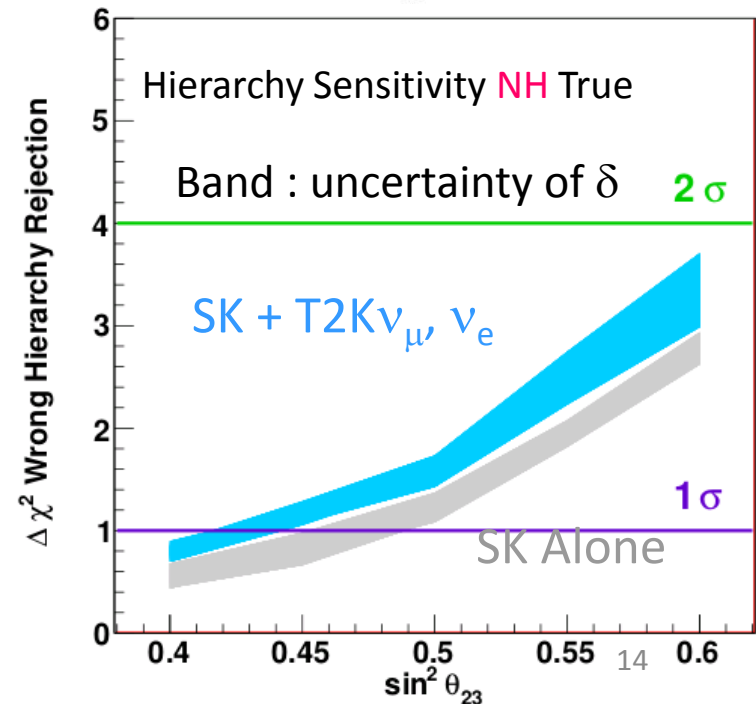
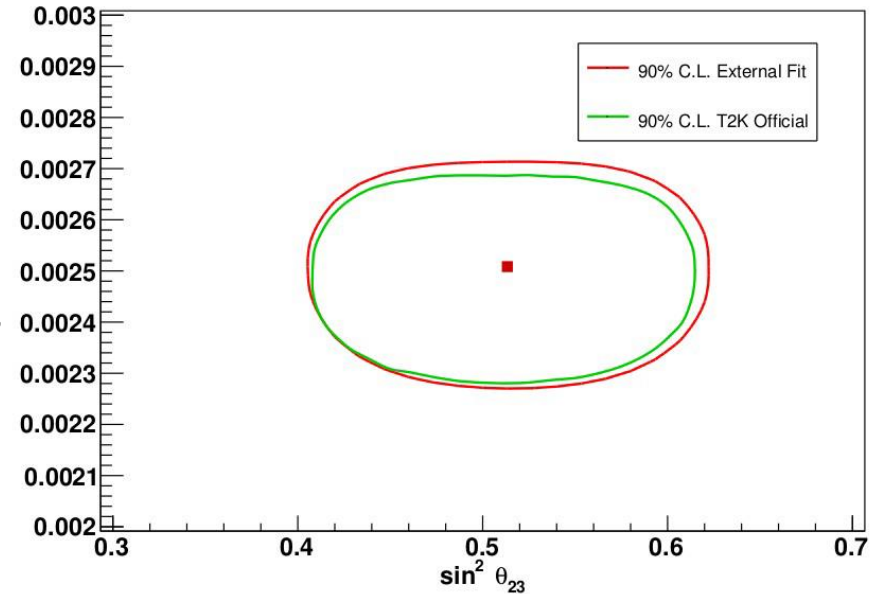
# Neutrino oscillation studies using atmospheric $\nu$

## Introduction of External Constraint

Constraints from  
the other experiments,  
( especially,  $\Delta m^2$  and  $\sin^2\theta_{23}$  ),  
make it possible to improve  
sensitivity to mass hierarchy.

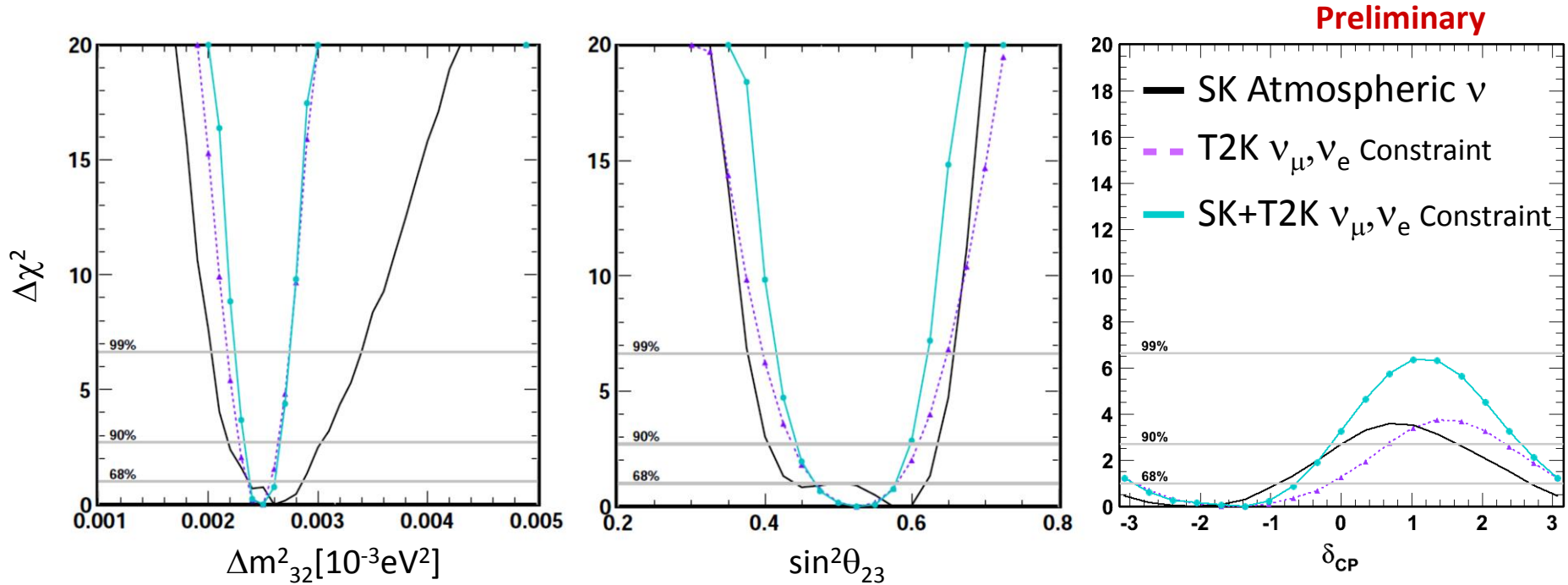
Fit the ***T2K  $\nu_\mu$  and  $\nu_e$  data sets***  
with SK atmospheric neutrino data.

Use ***publicly available information.***  
and simulate T2K using SK tools  
( ***Not a joint result of  
the T2K and the SK collaborations*** )



# Neutrino oscillation studies using atmospheric $\nu$

$\theta_{13}$  **Fixed** SK + T2K  $\nu_{\mu}, \nu_e$  (External Constraint) Normal Hierarchy



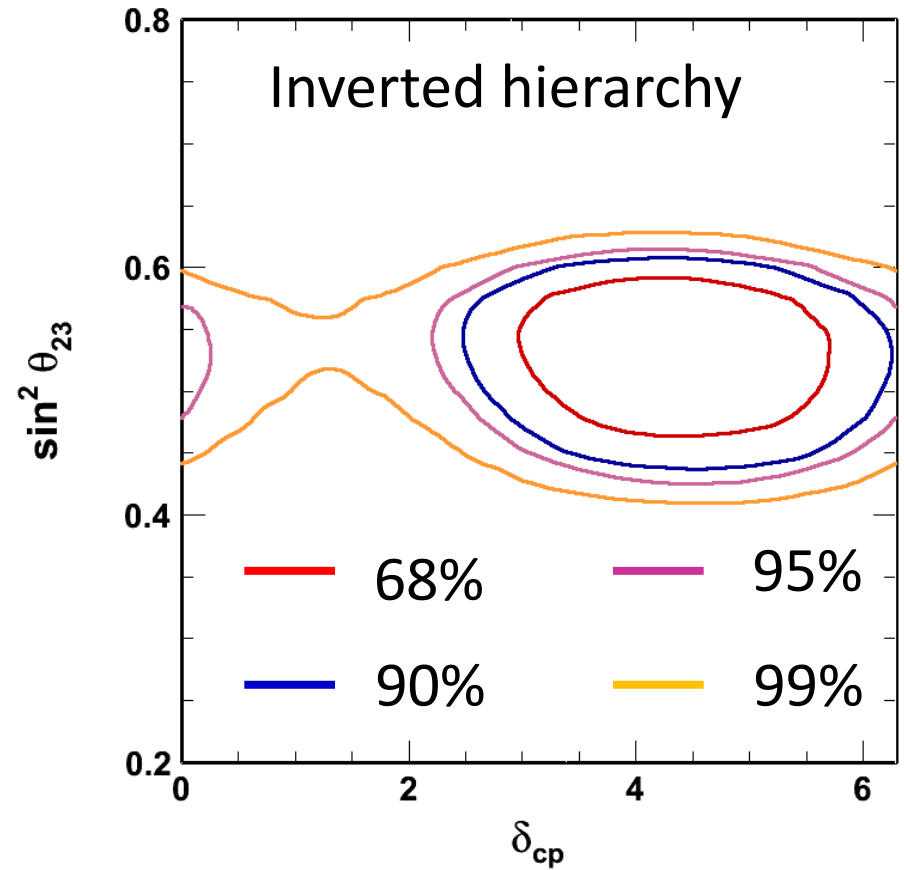
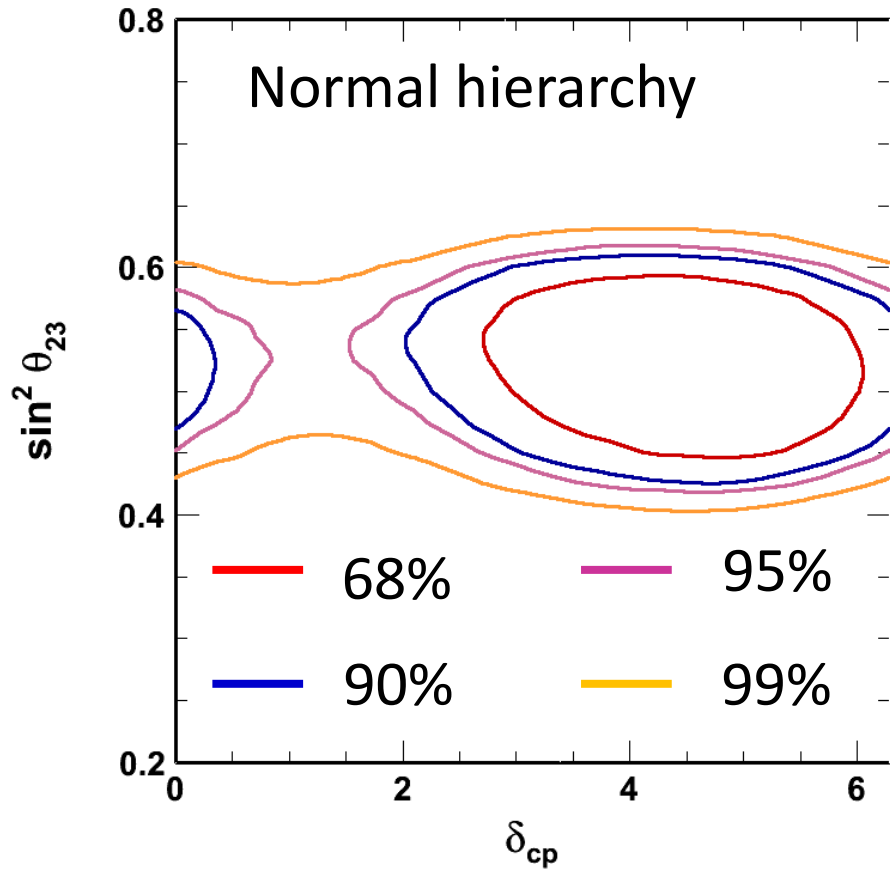
Fit (543 dof)	$\chi^2$	$\theta_{13}$	$\delta_{cp}$	$\theta_{23}$	$\Delta m^2_{32/23} (x10^{-3} \text{eV}^2)$
SK + T2K (NH)	651.5	0.0238	-1.39	0.525	2.5
SK + T2K (IH)	654.7	0.0238	-2.09	0.550	2.4

$\chi^2_{IH} - \chi^2_{NH} = -3.2$  (-3.0 SK only)

$\sin \delta_{cp} = 0$  is still allowed at (at least) 90% C.L. for both hierarchies.

# Neutrino oscillation studies using atmospheric $\nu$

$\theta_{13}$  Fixed SK + T2K  $\nu_{\mu}, \nu_e$  (External Constraint)

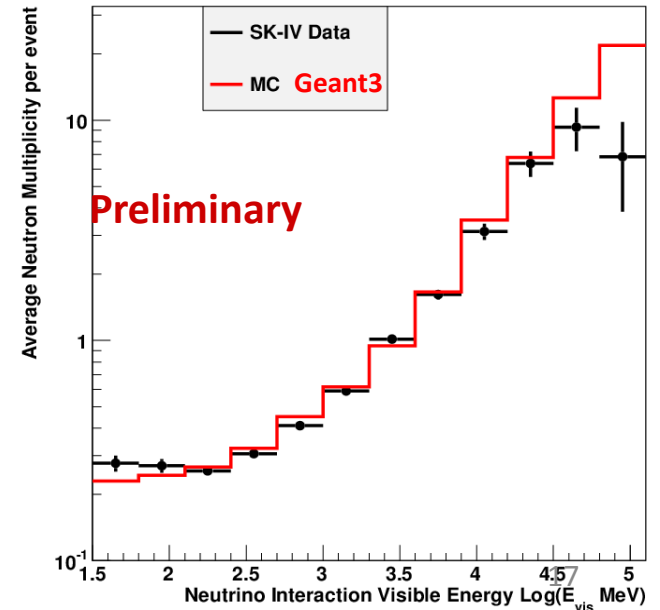
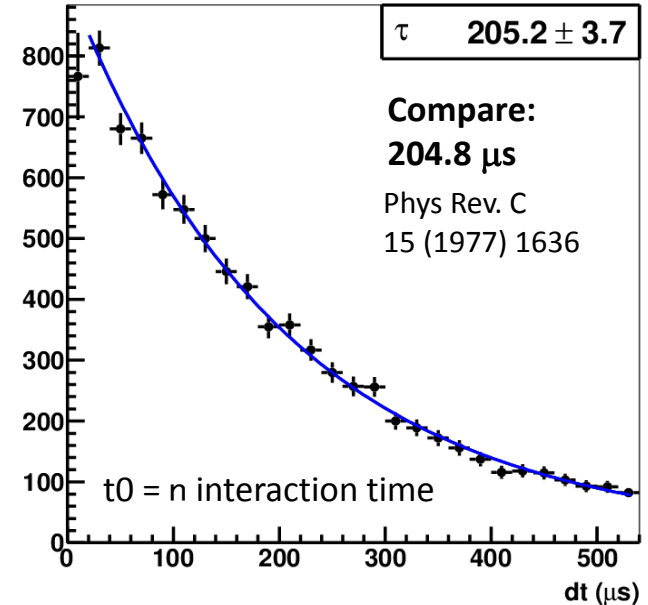


Fit (543 dof)	$\chi^2$	$\theta_{13}$	$\delta_{cp}$	$\theta_{23}$	$\Delta m_{32/23}^2$ ( $\times 10^{-3} eV^2$ )
SK + T2K (NH)	651.5	0.0238	-1.39	0.525	2.5
SK + T2K (IH)	654.7	0.0238	-2.09	0.550	2.4

# Neutron tagging ~ For further improvements ~

Effective neutron tagging make it possible to

- 1) discriminate  $\nu$  and  $\bar{\nu}$  interactions more efficiently
  - 2) reduce background of proton decay
- Electronics in SK-IV store all PMT hits for 500  $\mu\text{sec}$  after a neutrino-like trigger.
  - Search for the 2.2 MeV gamma from  $p(n,\gamma)d$
- Actual search is performed with a neural network ( 16 variables )
- Data and MC show good agreement on atmospheric neutrino sample



<i>2.2 MeV <math>\gamma</math> Selection</i>	
Efficiency	<b>20.5%</b>
Background / Event	<b>0.018</b>

# Sterile neutrino oscillations in atmospheric neutrino

## Sterile Neutrino searches at SK

Independent from  
the sterile  $\Delta m^2$  and  
the # of sterile neutrinos.

$$U = \begin{pmatrix} \text{MNS} & \text{Sterile} & & & \\ U_{e1} & U_{e2} & U_{e3} & U_{e4} & \cdots \\ U_{\mu1} & U_{\mu2} & U_{\mu3} & U_{\mu4} & \cdots \\ U_{\tau1} & U_{\tau2} & U_{\tau3} & U_{\tau4} & \cdots \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} & \cdots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}$$

- a) 3+1 and 3+N models have the same signatures
- b) For  $\Delta m_s^2 \sim 1 \text{ eV}^2$  oscillations appear fast  $\langle \sin \Delta m^2 L/E \rangle \sim 0.5$

$$|U_{\mu 4}|^2$$

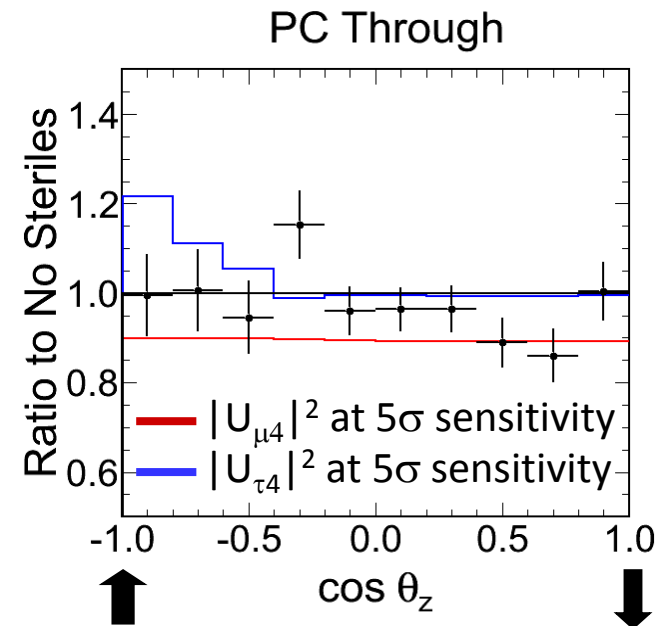
Induces a decrease in event rate of  $\mu$ -like data of all energies and zenith angles

$$|U_{\tau 4}|^2$$

Shape distortion of angular distribution of higher energy  $\mu$ -like data

Limits on sterile neutrino mixing using atmospheric neutrinos in Super-Kamiokande

K. Abe et al., PHYSICAL REVIEW D 91, 052019 (2015)



# Sterile neutrino oscillations in atmospheric neutrino

Assuming sterile neutrinos experience vacuum oscillation,  
 (= turning off sterile matter effects),  
 while preserving standard three-flavor oscillations  
 provides a pure measurement of  $|U_{\mu 4}|^2$

$$P_{ee} = P_{ee}^{(3)},$$

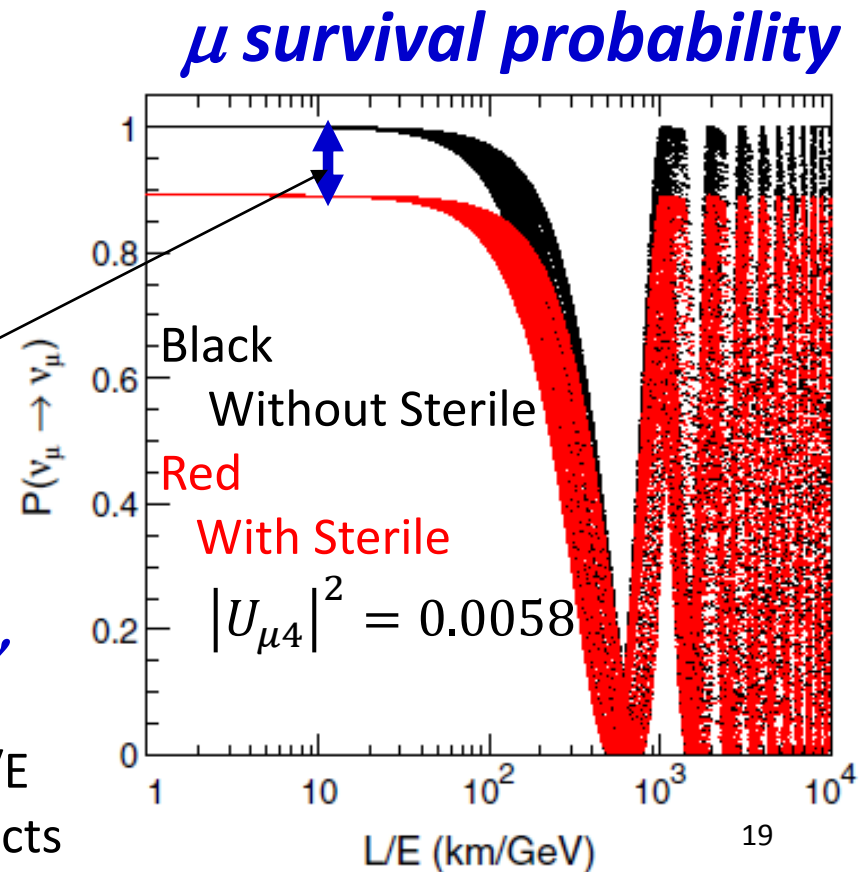
$$P_{e\mu} = \left(1 - |U_{\mu 4}|^2\right) P_{e\mu}^{(3)},$$

$$P_{\mu e} = \left(1 - |U_{\mu 4}|^2\right) P_{\mu e}^{(3)},$$

$$P_{\mu\mu} = \left(1 - |U_{\mu 4}|^2\right)^2 P_{\mu\mu}^{(3)} + |U_{\mu 4}|^4$$

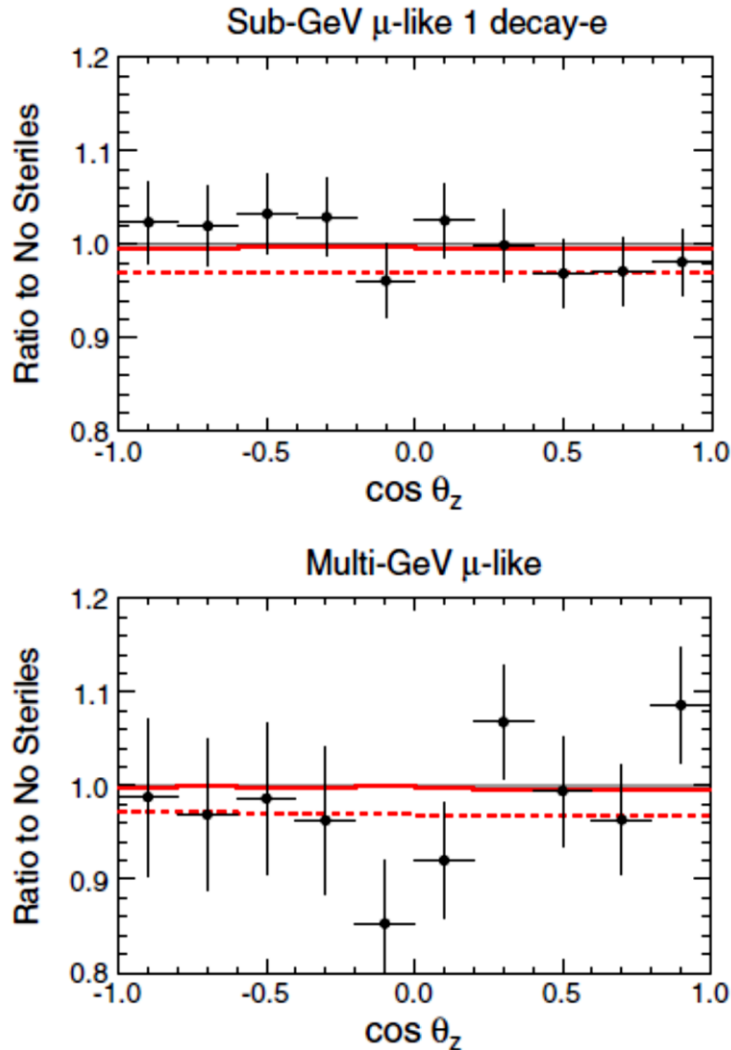
**Suppression due to  
 existence of sterile  $\nu$**

\*) Infinite width for same L/E  
 $\sim \nu_e$  CC matter effects



# Sterile neutrino oscillations in atmospheric neutrino

## Sterile vacuum oscillation

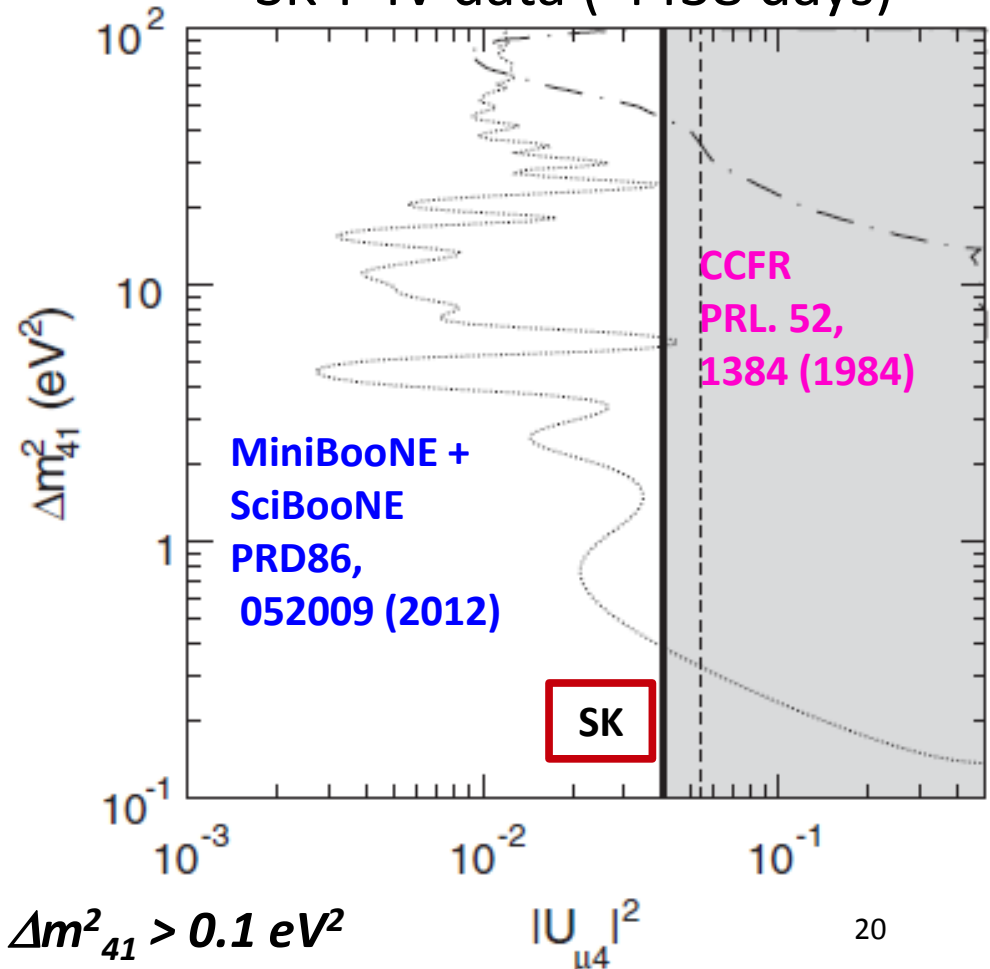


Limits on sterile neutrino mixing using atmospheric neutrinos in Super-Kamiokande

K. Abe et al., Phys. Rev. D 91, 052019 (2015)

$$|U_{\mu 4}|^2 < 0.041 \text{ at } 90\% \text{ C.L.} (*)$$

SK-I~IV data ( 4438 days)



(\*) Limit is valid for  $\Delta m^2_{41} > 0.1 \text{ eV}^2$

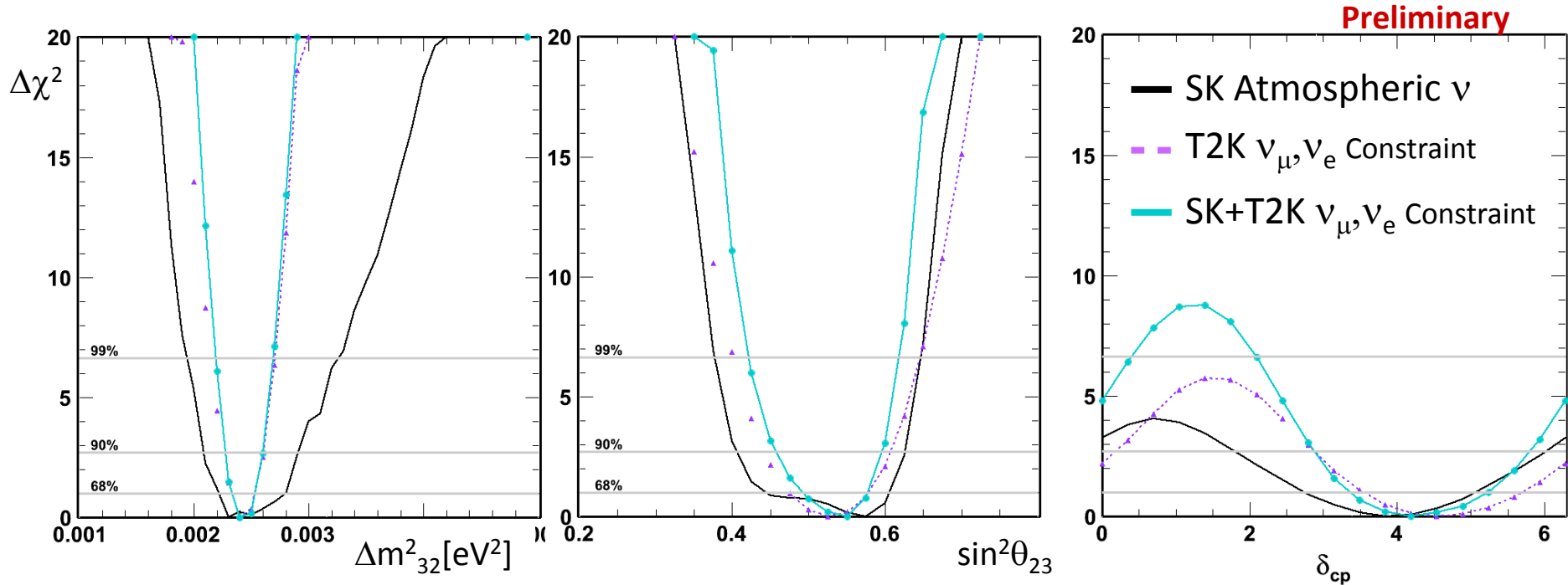
# Summary

- Three-Flavor neutrino oscillation analysis  
Using data from SKI to IV ( 4996 days ),  
~1  $\sigma$  preference for the NH, and second octant  
Using T2K publicly available data to improve sensitivity  
Basically, consistent results.  
~1  $\sigma$  preference for the NH  
 $\delta_{CP} = 0$  is allowed at 90% C.L. for both hierarchy.
- Neutron tagging  
Data taken with SK-IV electronics,  
we developed new “neutron tagging” analysis tools.  
Efficiency ~ 20.5 %  
Will be used for atmospheric neutrino oscillation analyses  
and proton decay search.
- No indication of oscillations into sterile neutrinos  
For 3+N models  $| U_{\mu 4} |^2 < 0.041$  at 90% C.L.

fin.

# Neutrino oscillation studies using atmospheric $\nu$

$\theta_{13}$  **Fixed** SK + T2K  $\nu_{\mu}, \nu_e$  (External Constraint) Inverted Hierarchy



Fit (543 dof)	$\chi^2$	$\theta_{13}$	$\delta_{cp}$	$\theta_{23}$	$\Delta m^2_{32/23} (\times 10^{-3} eV^2)$
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$\sin \delta_{cp} = 0$  is still allowed at (at least) 90% C.L. for both hierarchies.